

3

DECLARATION
and POWER OF ATTORNEY

- ☒ ORIGINAL
☐ CONTINUATION
☐ DIVISIONAL

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor (if only one name is listed as I below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FIBER-RING OPTICAL RESONATORS

the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 16, 2001 as United States Patent Application Number 09/788,331

or PCT International Application Number

and was amended on

My residence, post office address and citizenship are as stated below next to my name.

I acknowledge my duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

N/A

COUNTRY

APPLICATION NUMBER

DATE OF FILING
Month Day Year

PRIORITY CLAIMED UNDER
35 U.S.C. 119

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national of PCT international filing date of this application.

60/183,499

February 17, 2000

(Application Serial No.)

(Filing Date)

(Status)

60/226,147

August 18, 2000

(Application Serial No.)

(Filing Date)

(Status)

POWER OF ATTORNEY: As a named Inventor, I hereby appoint the following attorney(s) and/or Agents(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith **SCOTT MILLER, Reg. No. 32,278, SHARMINI N. GREEN, Reg. No. 41,410 and DAVID S. ALAVI, Reg. No. 40,310**

Send correspondence to:

**Scott Miller, Esq.
Riordan & McKinzie
300 South Grand Avenue, 29th Floor
Los Angeles, CA 90071**

DIRECT TELEPHONE CALLS TO:

**Scott Miller, Esq.
(213) 629-4824**

(Please Print)

1	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Peter C. Sercel	Pasadena	California
	Post Office Address	CITIZENSHIP	
	380 S. Allen Avenue	USA	
2	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Kerry J. Vahala	San Gabriel	California
	Post Office Address	CITIZENSHIP	
	328 Bridge Street	USA	
3	Name of Inventor	Residence: CITY	STATE or COUNTRY
	David W. Vernoooy	Monrovia	California
	Post Office Address	CITIZENSHIP	
	427 East Huntington	Canada	
4	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Guido Hunziker	Monrovia	California
	Post Office Address	CITIZENSHIP	
	427 East Huntington	Switzerland	
5	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Robert B. Lee	Monrovia	California
	Post Office Address	CITIZENSHIP	
	427 East Huntington	USA	

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the omissions made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1 X <i>[Signature]</i>		SIGNATURE OF INVENTOR 2 X <i>[Signature]</i>	
DATE X <i>July 27, 2001</i>		DATE X <i>July 27, 2001</i>	
SIGNATURE OF INVENTOR 3 X <i>[Signature]</i>		SIGNATURE OF INVENTOR 4 X <i>[Signature]</i>	
DATE X <i>July 27, 2001</i>		DATE X <i>07/27/01</i>	
SIGNATURE OF INVENTOR 5 X <i>[Signature]</i>			
DATE X <i>July 27, 2001</i>			

[Circular stamp: OIP RECEIVED AUG 8 2 2001 PATENT & TRADEMARK OFFICE]

[Circular stamp: OIP RECEIVED JUL 30 2001 PATENT & TRADEMARK OFFICE]